

UNITED STATES DISTRICT COURT  
WESTERN DISTRICT OF WASHINGTON  
AT TACOMA

KEITH H. HOLM,

**Plaintiff,**

V.

MICHAEL MEYERS, et al.,

## Defendants.

IN ADMIRALTY

No. 3:21-cv-05501-BJR

**ORDER GRANTING IN PERSONAM  
DEFENDANT'S MOTION FOR  
SUMMARY JUDGMENT**

## I. INTRODUCTION

Plaintiff Keith Holm (“Plaintiff” or “Holm”), filed this lawsuit against *in personam* defendant Michael Myers (“Defendant” or Myers) and *in rem* defendant M/Y Head Hunter (the “Head Hunter”), asserting claims under the Jones Act, general maritime law, and for common law negligence arising from injuries Plaintiff alleges he sustained while aboard Myers’ boat, the Head Hunter. Presently before the Court is Defendant’s motion for summary judgment on all of Plaintiff’s claims (“Motion” or “Mot.”, Dkt. 13). Having reviewed the Motion, the record of the case, and the relevant legal authorities, the Court GRANTS the Motion and dismisses Plaintiff’s claims. The reasoning for the Court’s decision follows.

## II. BACKGROUND

## A. Myers and Holm, and their Pre-Voyage Discussions

Plaintiff's lawsuit arises from an incident that took place aboard the Head Hunter near a marina in Kingston, Washington on August 1, 2019. At the time of the incident, the 26-foot boat

1 was owned by Myers, who was then 76 years old and retired. Myers Dep. Tr. at 8:10-11, 24:23-  
 2 25:2. Holm, who was then 54 years old, had been self-employed for roughly 20 years under his  
 3 sole proprietorship, Holm Heritage Painting and Boatworks (“Holm Heritage Painting”), which  
 4 provided boat painting and other vessel-related services. Holm Dep. Tr. at 20:21-23:3, 47:3-25.  
 5 Holm testified that he charges customers an hourly “shop rate” for his services, which was \$55 on  
 6 August 1, 2019. *Id.* at 40:21-22; *see* Dkt. 14, Ex. 4.

7       The parties agree that, sometime shortly before the date of the incident, Myers telephoned  
 8 Holm – who had previously done work on another boat owned by Myers – to ask if Holm could  
 9 help sail the Head Hunter on a three-to-four hour voyage from the Kingston marina to Holm’s  
 10 warehouse in Port Townsend, Washington, for engine repairs. Holm Dep. Tr. at 54:5-55:5, 58:13-  
 11 59:4, 59:15-60:20; Myers Dep. Tr. at 14:2-14. Both testified that Myers asked for Holm’s help  
 12 because Holm lived in Port Townsend and was familiar with its marina (Holm Dep. Tr. at 61:17-  
 13 25; Myers Dep. Tr. at 14:2-14), although Holm also testified that he believed Myers’ request was  
 14 based in part on his superior experience operating boats like the Head Hunter. Holm Dep. Tr. at  
 15 59:15-25.

16       The parties disagree about other aspects of Myers’ request. According to Holm, Myers  
 17 had telephoned him asking if he could “be his deckhand and assistant.” Holm Dep. Tr. at 60:1-8.<sup>1</sup>  
 18 Holm testified that although he initially declined Myers’ request because of personal obligations,  
 19 he agreed after Myers promised to pay him his shop rate. *Id.* at 60:1-8, 13-20. Myers, on the other  
 20 hand, denies that he ever asked Holm to be his deckhand. Myers Decl. ¶ 12. Myers further denies  
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 26 <sup>1</sup> When pressed at his deposition, Holm conceded that he could not recall the exact words Myers used in making the  
 request. Holm Dep. Tr. at 62:1-10

1 that he promised to pay Holm his shop rate prior to the voyage, asserting instead that he “never  
 2 discussed paying [] Holm anything for the trip.” *Id.* ¶ 13.

3       **B. The August 1, 2019 Incident**

4           Holm and Myers boarded the Head Hunter at the Kingston marina at approximately 10:00  
 5 a.m. on August 1, 2019. Holm Dep. Tr. at 66:2-6. After sailing roughly 100 to 200 meters to the  
 6 edge of the marina’s waters and the “no wake” zone, the boat’s engine stalled and could not be  
 7 restarted. *Id.* at 65:6-25, 69:2-5; Myers Dep. Tr. at 47:11-18. Holm deployed an anchor, and after  
 8 he and Myers spent some time making calls for help, a “Good Samaritan” boat arrived and offered  
 9 to tow the Head Hunter back to the Kingston marina. Holm Dep. Tr. at 65:1-5; Myers Dep. Tr. at  
 10 54:10-13.

12           According to Holm, as he was positioned between both boats working to tie them together  
 13 with a “side-tow,” two motor yachts simultaneously passed by at high speed, creating a wake that  
 14 caused the Head Hunter and the Good Samaritan boat to “buck” up and down and slam into each  
 15 other. Holm Dep. Tr. at 71:9-21, 74:9-25, 75:6-11, 93:15-22. Holm testified that he held onto  
 16 both boats’ railings in order to protect his legs from being caught in the “bite” of the boats’  
 17 bulwarks, but his arms became injured when they were pulled apart and jerked inward by the boats’  
 18 drift. *Id.* at 74:9-76:10 (“It felt like I got them yanked out of their sockets”), 92:4-23 (“I managed  
 19 to get my feet out of the way and paid for it with my arms.”); Holm Decl. ¶ 7. Holm and Myers  
 20 eventually returned to the Kingston marina and deboarded the Head Hunter approximately four  
 21 hours after the voyage began. Holm Dep. Tr. at 66:7-19.

24           The parties dispute the amount of money Myers paid Holm upon returning to the marina.  
 25 Myers declares that he gave Holm \$60, “in an effort to say ‘thank you,’” and told Holm that he  
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1 “should use the \$60 for gas.” Myers Decl. ¶ 14. Holm, on the other hand, testified that Myers  
 2 paid him \$200 in cash. Holm Dep. Tr. at 66:20-67:22; Holm Decl. ¶ 5.

3       **C. Procedural History**

4       Plaintiff filed this lawsuit on July 13, 2021, asserting claims for (1) common law  
 5 negligence and negligence under the Jones Act, premised on allegations that Myers’ conduct  
 6 aboard the Head Hunter breached a duty of care owed to Holm; (2) unseaworthiness, based on  
 7 allegations that the Head Hunter lacked, among other things, a reliable means of propulsion and a  
 8 competent master and crew “for the safe and seamanlike operation of the vessel”; and (3) failure  
 9 to provide maintenance and cure to Holm. Complaint (“Compl.,” Dkt. 1).

10      On August 26, 2021, Holm, though his counsel, sent Myers a demand for maintenance at  
 11 the rate of \$65 per day starting on the date of the incident, and for “all medical expenses related to  
 12 the incident until [] Holm reaches maximum medical cure.” Gibbons Decl., Ex. A. Myers and his  
 13 insurer declined to provide the requested maintenance and cure. Krishner Decl., Ex. 7; Myers  
 14 Dep. Tr. at 97:8-23; Holm Decl. ¶ 8.

15      Defendant filed the Motion on March 29, 2022, seeking summary judgment on all of  
 16 Plaintiff’s claims. Plaintiff filed an opposition on April 19, 2022 (“Opposition” or “Opp.,” Dkt.  
 17 19), and Defendant replied on April 27, 2022 (“Reply” or “Rep.,” Dkt. 23).

18       **III. STANDARD OF REVIEW**

19      “The standard for summary judgment is familiar: ‘Summary judgment is appropriate when,  
 20 viewing the evidence in the light most favorable to the nonmoving party, there is no genuine  
 21 dispute as to any material fact.’” *Zetwick v. County of Yolo*, 850 F.3d 436, 440 (9th Cir. 2017)  
 22 (quoting *United States v. JP Morgan Chase Bank Account No. Ending 8215*, 835 F.3d 1159, 1162  
 23 (9th Cir. 2016)). A court’s function on summary judgment is not “to weigh the evidence and  
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1 determine the truth of the matter but to determine whether there is a genuine issue for trial.”  
 2 *Anderson v. Liberty Lobby, Inc.*, 477 U.S. 242, 249 (1986). If there is not, summary judgment is  
 3 warranted.

4 **IV. DISCUSSION**

5 **A. Defendants’ Motion to Strike**

6 In his Reply, Defendant moves, pursuant to Local Civil Rule 7(g), to strike (1) the  
 7 declaration of Captain Charles A. Jacobsen (Dkt. 20) in its entirety; and (2) Holm’s declaration  
 8 (Dkt. 21) “where it conflicts with his interrogatory responses and deposition testimony and  
 9 otherwise contains inadmissible hearsay statements.” Rep. at 1-7.

10 Defendant argues that Captain Jacobson’s declaration impermissibly “presents the Court  
 11 with legal opinions in the guise of expert testimony” concerning Myers’ compliance with  
 12 applicable federal regulations and whether Holm was employed by Myers as a seaman. *See* Rep.  
 13 at 1-2. While the declaration and accompanying report certainly contain legal opinions (*see, e.g.,*  
 14 Jacobson Decl., Report ¶ 1 (“It is my opinion that [] Holm … was under employment when he was  
 15 injured”), ¶ 3 (“It is my opinion that … Myers was negligent in his duties”)), those materials are  
 16 not uniformly objectionable on that basis. Therefore, this Court disregards only those portions of  
 17 Captain Jacobson’s declaration that contain improper legal opinions.<sup>2</sup>

18 As to Holm’s declaration, Defendant argues that it was submitted as a “sham declaration”  
 19 in order “to create an issue of material fact as to whether or not he was employed by [] Myers,”  
 20 and also reports inadmissible hearsay as to what his physicians told him about his alleged shoulder  
 21 injury. *See* Rep. at 3-7. Defendant is correct that Holm, in his declaration, contradicts his prior  
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 26 <sup>2</sup> In all events, Captain Jacobson’s proffered opinions primarily address whether Myers was negligent and the Head  
 Hunter was unseaworthy (*see* Jacobson Decl., Report ¶¶ 2-5), which are issues this Court need not reach.

1 deposition testimony and interrogatory answers. However, the Court declines to strike the  
 2 declaration, mindful that on summary judgment, the Court must construe all disputed facts in favor  
 3 of Plaintiff. Further, while Holm's statements about his physicians' diagnosis and  
 4 recommendations constitute hearsay, they are nonetheless "admissible for summary judgment  
 5 purposes because they could be presented in an admissible form at trial." *Fonseca v. Sysco Food*  
 6 *Servs. of Arizona, Inc.*, 374 F.3d 840, 846 (9th Cir. 2004) (quotation marks and citation omitted);  
 7 *see Denton v. Pastor*, No. 17-cv-5075, 2021 WL 6622137, at \*3 (W.D. Wash. Dec. 16, 2021)  
 8 (declining to strike statement in declaration about physician's diagnoses because "one of plaintiff's  
 9 doctors could testify to plaintiff's symptoms and diagnosis at trial"), *report and recommendation*  
 10 *adopted*, 2022 WL 203489 (Jan. 24, 2022).

12           **B. Whether Plaintiff is Eligible to Seek Recovery on his Jones Act,  
 13 Unseaworthiness, and Maintenance and Cure Claims**

14           **1. Eligibility to Maintain a Jones Act Claim**

15 Plaintiff asserts a negligence claim under the Jones Act, which provides that "[a] seaman  
 16 injured in the course of employment ... may elect to bring a civil action at law, with the right of  
 17 trial by jury, against the employer." 46 U.S.C. § 30104. Consistent with the statute's text, Plaintiff  
 18 must satisfy two threshold requirements to be entitled to recovery: (1) he must have been employed  
 19 by Defendant at the time of his injury, and (2) he must have then been a seaman. *See Brown v.*  
 20 *Parker Drilling Offshore Corp.*, 410 F.3d 166, 178 (5th Cir. 2005) ("[T]he employer-employee  
 21 relationship is an absolute prerequisite to Jones Act liability." (citation omitted)); *Norfolk*  
 22 *Shipbuilding & Drydock Corp. v. Garris*, 532 U.S. 811, 817 (2001) (noting that recovery under  
 23 the Jones Act is "only for seamen"). Defendant argues that Plaintiff satisfies neither requirement.  
 24 *See Mot.* at 14-23.

1                   **a.        Whether Holm was Myers' Employee**

2                  No reasonable juror could conclude that Plaintiff was employed by Defendant on the date  
 3 of the incident. As an initial matter, Plaintiff conceded twice during discovery – during his  
 4 deposition and in his interrogatory responses – that he was not employed outside of Holm Heritage  
 5 Painting on August 1, 2019 and for many years beforehand. *See* Holm Dep. Tr. at 48:16-19 (“Q.  
 6 Other than Holm’s Heritage Painting, have you had any other employment of any kind in 2017,  
 7 2018, 2019, or 2020? A. I do not think so.”); Krisher Decl., Ex. 5 at 5 (Holm responding, “I have  
 8 been self-employed for the past 10 years … as Holm Heritage Painting,” in response to  
 9 interrogatory about past employment). Holm indicated the same in an Injury Information Request  
 10 form he submitted to Myers’ insurer, wherein Holm also specifically stated that, “at the time of  
 11 the accident,” he was not “in the course of [his] employment.” *See* Krisher Decl., Ex. 4. These  
 12 concessions provide meaningful evidence that Holm did not understand himself to have been  
 13 employed by Myers on August 1, 2019.

14                 Moreover, the submitted evidence – viewed in the light most favorable to Plaintiff, and  
 15 considering the factors enumerated in the Restatement (Second) of Agency for determining  
 16 whether an employment relationship exists<sup>3</sup> – demonstrates that Holm was not Myers’ employee.  
 17 Most importantly, Myers exercised little control over Holm while aboard the Head Hunter. *See*  
 18 *Hartford Fire Ins. Co. v. Leahy*, 774 F. Supp. 2d 1104, 1118 (W.D. Wash. 2011) (noting that “the

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 23                 <sup>3</sup> Those factors include: “(a) the extent of control which, by the agreement, the master may exercise over the details  
 24 of the work; (b) whether or not the one employed is engaged in a distinct occupation or business; (c) the kind of  
 25 occupation, with reference to whether, in the locality, the work is usually done under the direction of the employer or  
 26 by a specialist without supervision; (d) the skill required in the particular occupation; (e) whether the employer or the  
 workman supplies the instrumentalities, tools, and the place of work for the person doing the work; (f) the length of  
 time for which the person is employed; (g) the method of payment, whether by the time or by the job; (h) whether or  
 not the work is a part of the regular business of the employer; (i) whether or not the parties believe they are creating  
 the relation of master and servant; and (j) whether the principal is or is not in business.” Restatement (Second) of  
 Agency § 220(2).

1 extent of control ... over the details of the work” is often the decisive factor). In particular, Myers  
 2 provided no tools to Holm (*see* Holm Dep. Tr. at 61:8-16), and both parties’ testimony reflects that  
 3 Myers’ instructions to Holm were limited to a general request that he navigate the boat. *See* Holm  
 4 Dep. Tr. at 62:12-24; Myers Dep. Tr. at 42:10-18.

5       The agreed upon evidence supports the lack of an employment relationship. While Holm  
 6 testified that Myers asked him to “be his deckhand” (Holm Dep. Tr. at 60:1-8) – a request this  
 7 Court will assume took place, despite Holm’s murky recollection (*see supra* at n.1) – there is no  
 8 indication that Myers was in any position to employ a deckhand or any crew. He was 76 years old  
 9 and retired; had purchased the Head Hunter as a recreational boat; never operated or planned to  
 10 operate it commercially; and declares that he did not understand Holm to be his employee at any  
 11 time. *See* Myers Decl. ¶¶ 5-7, 10. Holm, for his part, testified that he had not served as a deckhand  
 12 on a boat since the “[m]id to late ‘90s.” Holm Dep. Tr. at 48:9-15. Further, the planned voyage  
 13 was to last for a very brief period of time: three to four hours. *See id.* at 58:20-59:8. Finally, Holm  
 14 was never provided or signed any employment papers, and never received a formal paycheck from,  
 15 or had taxes withheld by, Myers. *See id.* at 61:1-7; Myers Decl. ¶ 11.

16       Insofar as Holm came aboard the Head Hunter for business purposes, and not as Myers’  
 17 friend, then he had done so, at best, as an employee of Holm Heritage Painting. Indeed, Holm  
 18 testified that Myers had agreed to pay him the Holm Heritage Painting “shop rate” (\$55 per hour)  
 19 and, assuming facts as Plaintiff relates them, ultimately paid him roughly that amount (\$200).  
 20 Holm Dep. Tr. at 66:20-67:22, 149:2-4; *see* Dkt. 22, Ex. I. Holm’s position is more like that of an  
 21 independent contractor. That, however, does not make him eligible to seek recovery from Myers  
 22 under the Jones Act, or for maintenance and cure. *See, e.g., Moore v. Noble Drilling Co.*, 637 F.  
 23 Supp. 97, 99 (E.D. Tex. 1986) (plaintiff employed by independent contractor while injured aboard  
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1 vessel was ineligible to seek recovery from vessel's owner under Jones Act because owner "was  
2 not the plaintiff's employer").

3 Accordingly, the Court finds that Plaintiff has not provided sufficient evidence from which  
4 a reasonable juror could conclude that Plaintiff was Defendant's employee on the date of the  
5 incident.

6                   **b.      Whether Holm was a Seaman**

7                   The Court also finds that, on the evidence submitted, Plaintiff was not a seaman on the date  
8 of the incident. The Supreme Court has articulated a two-part test for determining whether an  
9 employee can be conferred seaman status: (1) his "duties must contribut[e] to the function of the  
10 vessel or to the accomplishment of its mission"; and (2) he "must have a connection to a vessel in  
11 navigation ... that is substantial in terms of both duration and its nature." *Scheuring v. Traylor*  
12 *Bros.*, 476 F.3d 781, 785 (9th Cir. 2007) (citing *Chandris, Inc. v. Latsis*, 515 U.S. 347, 368 (1995)  
13 (quotation marks omitted)). Defendant does not dispute that Holm satisfies the first requirement,  
14 but instead contends that Holm fails to satisfy the second. Mot. at 18-23.

15                  "The fundamental purpose of this substantial connection requirement is ... to separate the  
16 sea-based maritime employees who are entitled to Jones Act protection from those land-based  
17 workers who have only a transitory or sporadic connection to a vessel in navigation, and therefore  
18 whose employment does not regularly expose them to the perils of the sea." *Chandris*, 515 U.S.  
19 at 368. The Supreme Court has "explained that this test is 'fundamentally status based,'" and has  
20 "also equated the question of who is a 'seaman' to the determination of who is a 'member of a  
21 crew.'" *Scheuring*, 476 F.3d at 785 (quoting *Chandris*, 515 U.S. at 356, 361).

22                  Holm's voyage aboard the Head Hunter was of insufficient duration to satisfy the  
23 substantial connection requirement. In *Sologub v. City of New York*, 202 F.3d 175 (2d Cir. 2000),

the Second Circuit found that the plaintiff's "one eight-hour tour aboard a vessel" was "hardly enough to meet the 'duration' test promulgated by the Supreme Court." *Id.* at 180. Similarly, in *Witte v. Matson Navigation Co.*, No. 97-cv-2006, 1998 WL 965986 (W.D. Wash. June 1, 1998), a court in this District found that the plaintiff, who was hired for a three-day job aboard a vessel as a relief engineer, was not a seaman in part because the job constituted "temporary work." *Id.* at \*2-3. Here, the voyage was scheduled to last – and eventually did last – only four hours, and as Holm testified, there had been no discussion of him ever again coming aboard the Head Hunter. See Holm Dep. Tr. at 58:20-59:8. This amount of time aboard a vessel – even more brief than in *Sologub* and *Witte* – plainly did not "regularly expose [Holm] to the perils of the sea." See *Chandris*, 515 U.S. at 368. Rather, it created only a transitory connection to the Head Hunter that was not of sufficient duration to create a substantial connection to that vessel. See, e.g., *Bullis v. Twentieth Century-Fox Film Corp.*, 474 F.2d 392, 394 (9th Cir. 1973) (plaintiffs aboard vessel for two hours were not seamen because their relationship to vessel "was purely transitory"). The Court therefore finds that, on the evidence presented, Plaintiff cannot qualify as a seaman.

Accordingly, Plaintiff is not eligible to maintain a Jones Act negligence claim against Defendant.

## **2. Eligibility to Maintain an Unseaworthiness Claim**

Plaintiff asserts a claim against Defendant for unseaworthiness under general maritime law, which imposes a duty upon a vessel owner to "furnish a seaworthy ship" that is "reasonably fit for its intended use." *Ribitzki v. Canmar Reading & Bates, Ltd. P'ship*, 111 F.3d 658, 664 (9th Cir. 1997), *as amended on denial of reh'g* (June 5, 1997). As with claims under the Jones Act, "claims of unseaworthiness ... require that the plaintiff be a seaman." *Bauer v. MRAG Americas, Inc.*, No. 08-cv-00582, 2009 WL 10695613, at \*3 (D. Haw. Sept. 23, 2009) (citing *Scheuring*, 476 F.3d at

1 784 n.3 (9th Cir. 2007)), *aff'd*, 624 F.3d 1210 (9th Cir. 2010). Given this Court's finding that no  
 2 reasonable juror could conclude that Plaintiff was a seaman at the time of the incident, Plaintiff is  
 3 not eligible to maintain an unseaworthiness claim against Defendant.

4           **3. Eligibility to Maintain a Claim for Maintenance and Cure**

5           Finally, Plaintiff asserts a claim for maintenance and cure, also under general maritime  
 6 law, which entitles an injured seaman to recover from his employer a living allowance, unearned  
 7 wages, and reimbursement for medical expenses "from the onset of injury or illness until the end  
 8 of the voyage." *Lipscomb v. Foss Mar. Co.*, 83 F.3d 1106, 1109 (9th Cir. 1996). As with the  
 9 Jones Act and unseaworthiness claims, in order to recover maintenance and cure from Defendant,  
 10 Plaintiff must establish that he was (1) employed by Defendant at the time of his injury, and (2) a  
 11 seaman. *See Vaughan v. Atkinson*, 369 U.S. 527, 532-33 (1962) ("the duty to provide maintenance  
 12 and cure is ... one annexed to the employment"); *Bauer*, 2009 WL 10695613, at \*3 (claims for  
 13 maintenance and cure "require that the plaintiff be a seaman"). For the reasons stated above, no  
 14 reasonable juror could find that Plaintiff satisfies either requirement. He is, therefore, ineligible  
 15 to maintain this claim against Defendant.

16           **C. Holm Lacks Adequate Proof of Causation**

17           As noted above, Plaintiff brings a Jones Act claim, an unseaworthiness claim, a claim for  
 18 maintenance and cure, and a common law negligence claim. To prevail on each of these claims,  
 19 the plaintiff must prove that his injuries were caused by the incident in question. *See Ribitzki*, 111  
 20 F.3d at 662 ("To recover on his Jones Act claim, [plaintiff] must establish that ... this negligence  
 21 was a cause, however slight, of his injuries"); *Ili v. Am. Seafoods Co., LLC*, 357 F. App'x 807, 809  
 22 (9th Cir. 2009) ("To prevail on an unseaworthiness claim, the plaintiff must establish ... proximate  
 23 causation between the unseaworthy condition and the injury."); *Kopczynski v. The Jacqueline*, 742  
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1 F.2d 555, 559 (9th Cir. 1984) (“Maintenance and cure is the obligation of a shipowner to care for  
 2 a seaman injured *during the course of maritime employment.*” (emphasis added)); *Wuthrich v. King*  
 3 *Cnty.*, 185 Wash. 2d 19, 25 (Wn. Sup. Ct. 2016) (proximate causation is an element of a common  
 4 law negligence claim). Where a lay fact finder would be incapable of understanding the causal  
 5 relationship between the alleged incident and injury, the plaintiff must proffer expert medical  
 6 testimony supporting causation. *See Cherry v. United States*, No. 06-cv-5452, 2007 WL 3166773,  
 7 at \*6 (W.D. Wash. Oct. 25, 2007) (plaintiff failed to establish that negligence or unseaworthiness  
 8 caused her injuries because she did not present “credible medical testimony”); *Finchen v. Holly-*  
 9 *Matt, Inc.*, No. 04-cv-1285, 2006 WL 1687589, at \*14-15 (W.D. Wash. June 20, 2006) (dismissing  
 10 claim for maintenance and cure based on insufficient “credible evidence” of medical causation);  
 11 *cf. Gowdy v. Marine Spill Response Corp.*, 925 F.3d 200, 206 (5th Cir. 2019) (following “the  
 12 general rule that expert testimony is unnecessary when lay fact-finders are capable of  
 13 understanding causation”).

14       The only evidence of causation offered by Plaintiff is his own testimony.<sup>4</sup> He asserts,  
 15 specifically, that as a result of the incident, he sustained injuries to both shoulders (a rotator cuff  
 16 tear in his right shoulder, and a bicep attachment tear in his left shoulder) as well as his back and  
 17 hips, and that his doctors have since recommended that he undergo shoulder surgery. *See Decl. ¶*  
 18 7. Holm admits, though, that prior to the incident, he suffered from “aches and pains” in his left  
 19 shoulder and his “right shoulder did hurt sometimes.” *Id.* Indeed, Holm’s medical records reflect  
 20 that *in January 2019, eight months before the incident, Holm – who then complained of “chronic*  
 21 *pain [in] both shoulders” – had already been told he would need rotator cuff surgery.* Dkt. 17  
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23 24 25 26 <sup>4</sup> While Plaintiff may otherwise have called his treating physician to testify at trial about a medical diagnosis (*see supra* at 6), Plaintiff did not disclose any expert medical witness, including a treating physician, to opine regarding causation.

1 at 92, 112, 118. His medical records also reflect that he had previously been suffering from  
2 “chronic pain” in his hips, back, ribs, and sternum (*id.* at 71, 113), and on July 29, 2019 – three  
3 days before the incident – he was seen by a chiropractor for “severe low[er] back pain.” Dkt. 16  
4 at 7.

5 Defendant proffers the expert report of Dr. Josef K. Eichinger, an orthopedic surgeon who  
6 performed a physical examination of Holm and reviewed his medical records (including an MRI).  
7 *See* Eichinger Decl. Dr. Eichinger opines that Holm’s shoulder injuries were “neither caused nor  
8 worsened by the subject accident.” Dkt. 18, Ex. 1 at 23. Rather, “Holm’s complaints are a result  
9 of chronic rotator cuff disease,” and his shoulder injuries were “most likely consistent with a pre-  
10 existing degenerative condition rather than a traumatic event.” *Id.*, Ex. 1 at 25 (opining that the  
11 cause of Holm’s condition is “multifactorial”; “Genetic, age and occupational factors such as his  
12 highly repetitive work with overhead motion are the primary reasons”). Dr. Eichinger also  
13 attributed Holm’s complaints about his hips to his “history of a prior injury and a pre-existing  
14 arthritic condition.” *Id.*, Ex. 1 at 26. Plaintiff has not proffered any expert testimony refuting Dr.  
15 Eichinger’s opinions.

16 In light of Holm’s documented prior injuries and complaints of pain, and the nature of his  
17 alleged injuries, the Court finds that no lay fact finder would be capable of determining whether  
18 his shoulder and other injuries were caused by the incident aboard the Head Hunter. *See, e.g.,*  
19 *Miller v. Union Pac. R.R. Co.*, 526 F. Supp. 3d 494, 509 (D. Neb. 2021) (“A lay juror could not  
20 have determined [plaintiff] tore his rotator cuffs without the assistance of expert testimony.”). In  
21 *Bradley v. Wal-Mart Stores, Inc.*, 336 F. App’x 640 (9th Cir. 2009), the Ninth Circuit upheld a  
22 district court’s granting of summary judgment because the plaintiff, who alleged the defendant’s  
23 negligence caused him to fall and sustain a rotator cuff injury, had not proffered expert medical  
24 evidence. Plaintiff has not proffered any expert medical evidence to support his claims. The Court  
25 therefore grants the Defendants’ Motion for Summary Judgment. Plaintiff may file a motion for  
26 re-hearing or a petition for writ of certiorari, if applicable.

1 testimony demonstrating “that this injury was caused by his fall.” *Id.* at 641-42 (“A torn rotator  
 2 cuff involves medical factors beyond [plaintiff’s] knowledge.”). Here, likewise, Plaintiff does not  
 3 proffer any expert medical testimony that could enable a lay fact finder to conclude that his injuries  
 4 were sustained on August 1, 2019, and not previously from prior accidents or a degenerative  
 5 condition. Indeed, Holm’s medical records and Dr. Eichinger’s unrefuted medical opinion sharply  
 6 contradict any such conclusion. The Court, therefore, finds that no reasonable juror could conclude  
 7 that Plaintiff’s injuries were suffered aboard the Head Hunter.  
 8

9 Accordingly, the Court finds that none of Plaintiff’s claims can survive summary  
 10 judgment.<sup>5</sup> Although the Motion was filed only by *in personam* defendant Myers, this Court’s  
 11 ruling necessary forecloses Plaintiff’s claims against *in rem* defendant the Head Hunter.  
 12

## V. CONCLUSION

13 For the foregoing reasons, the Court GRANTS Defendant’s motion for summary judgment  
 14 (Dkt. 13). The Court dismisses, with prejudice, Plaintiff’s claims for common law negligence,  
 15 Jones Act negligence, unseaworthiness, and failure to pay maintenance and cure. This case is  
 16 hereby dismissed.  
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18 SO ORDERED.  
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Dated: July 1, 2022  
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21  
 22 Barbara Jacobs Rothstein  
 23 U.S. District Court Judge  
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<sup>5</sup> Given this ruling, the Court need not reach Defendant’s argument that Plaintiff is not entitled to punitive damages arising from Myers’ alleged failure to provide maintenance and cure. *See Mot.* at 26-29.